



1765  
LH/B

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Vlad J. Novotny

Assignee: Active Optical Networks, Inc.

Title: "PATTERN-TRANSFER PROCESS FOR FORMING MICRO-ELECTRO-MECHANICAL STRUCTURES"

Serial No.: 10/028,657 Filing Date: December 21, 2001

Examiner: Ahmed, Shamim Art Unit: 1765

Docket No.: AO-001-1D

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SUBSTANCE OF THE 17 NOVEMBER 2004 INTERVIEW

All participants (applicant, applicant's representative, PTO personnel):

- (1) Ahmed, Shamim (Examiner, PTO personnel)
- (2) Arthur J. Behiel (applicant's representative)

Type of Interview:

- ☒ Telephonic
- ☐ Video Conference
- ☐ Personal

Exhibit shown or demonstration conducted: ☐ Yes or ☒ No

Claim(s) discussed: Claims 1 and 19-24.

Agreement with respect to the claims:

☒ was reached ☐ was not reached ☐ not applicable

Identification of prior art discussed: Chang et al. 5,959,516

Identification of the principal proposed amendments of a substantive nature discussed:

The examiner called to discuss the scope of claim 19. The examiner suggested canceling claims 19-24.



PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

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FORM**

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Total Number of Pages in This Submission

3

Application Number

10/028,657

Filing Date

12/21/2001

First Named Inventor

Vlad J. Novotny

Art Unit

1765

Examiner Name

Ahmed, Shamim

Attorney Docket Number

AO-001-1D

**ENCLOSURES (Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1. Substance of the Interview Summary (2 pages).
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	2. Return Receipt Postcard.
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Reply to Missing Parts/ Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		

Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	Silicon Edge Law Group LLP, Arthur J. Behiel, Patent Attorney		
Signature			
Printed name	Arthur J. Behiel		
Date	Nov 19, 2004	Reg. No.	39,603

**CERTIFICATE OF TRANSMISSION/MAILING**

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Signature			
Typed or printed name	Laurie Moreno	Date	11/19/04

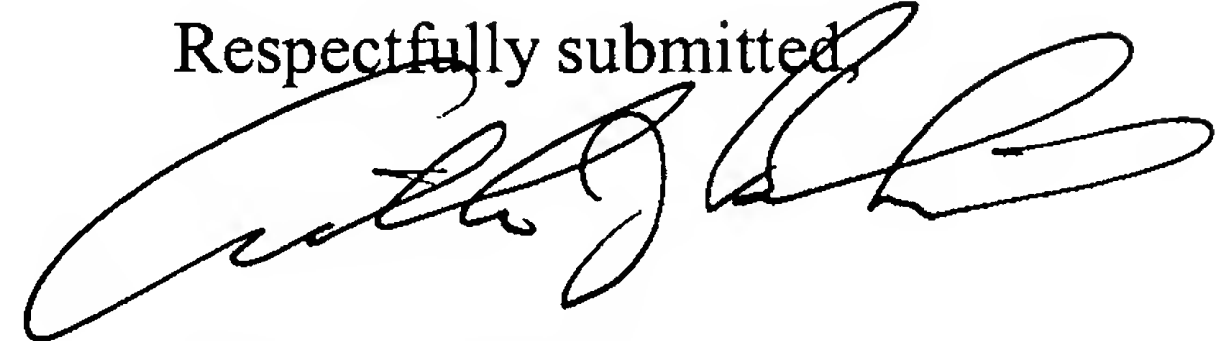
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A brief identification of the general thrust of the principal arguments presented to the Examiner: Claim 19 was discussed in the context of the related figures. The examiner determined that claim 19 recited a different point of novelty than the claims he was prepared to allow, and consequently suggested applicants cancel claim 19 and its dependencies. The undersigned authorized the examiner to cancel claims 19-24 by examiner's amendment to place the remaining claims in condition for allowance.

A general indication of any other pertinent matters discussed: None

Respectfully submitted,

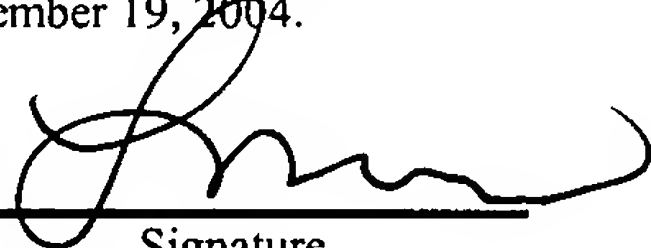


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Laurie Moreno

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